Essential Fatty Acids

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Summary

- Linoleic acid (LA), an omega-6 <u>fatty acid</u>, and αlinolenic acid (ALA), an omega-3 fatty acid, are considered essential fatty acids because they cannot be <u>synthesized</u> by humans. <u>(More information)</u>
- The long-chain omega-3 fatty acids, eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), can be synthesized from ALA, but due to low conversion efficiency, it is recommended to consume foods rich in EPA and DHA. (*More information*)
- Both omega-6 and omega-3 fatty acids are important structural components of <u>cell membranes</u>, serve as <u>precursors</u> to bioactive <u>lipid</u> mediators, and provide a source of energy. Long-chain omega-3 polyunsaturated fatty acids (<u>PUFA</u> in particular exert anti-inflammatory effects; it is recommended to increase their presence in the diet. (<u>More information</u>)
- Both dietary intake and <u>endogenous metabolism</u> influence whole body <u>status</u> of essential fatty acids. Genetic <u>polymorphisms</u> in fatty acid synthesizing <u>enzymes</u> can have a significant impact on fatty acid concentrations in the body. (<u>More information</u>)
- DHA <u>supplementation</u> during pregnancy may reduce the <u>risks</u> of early premature birth (birth before 34 weeks' gestation) and very low birth weight (<1.5 kg [<3 pounds 5 ounces]). (<u>More information</u>)
- DHA is important for visual and <u>neurological</u> development. However, supplementation with longchain during pregnancy or early infancy appears to have no significant effect on children's visual acuity, neurodevelopment, and physical growth. (<u>More</u> <u>information</u>)
- Replacing saturated fat in the diet with omega-6 lowers total blood cholesterol; yet, randomized controlled trials have failed to demonstrate cardiovascular benefits in healthy people and people at risk for or with type 2 diabetes mellitus. Long-chain omega-3 PUFA supplementation may be useful to reduce mortality in patients with prevalent coronary heart disease (CHD) and in those with heart failure without preserved ventricular function. (*More information*)
- Increasing EPA and DHA intake may benefit individuals with type 2 diabetes mellitus, especially those with elevated <u>serum triglycerides</u>. However, evidence from

large-scale randomized trials is insufficient to support the use of omega-3 PUFA <u>supplements</u> for <u>cardiovascular</u> <u>disease</u> prevention in those with type 2 diabetes. (*More information*)

• <u>Observational studies</u> have found fish intake to be associated with lower risks of cognitive deterioration and <u>Alzheimer's disease</u>, but it is not yet clear whether <u>supplementation</u> with marine-derived omega-3 PUFA can help prevent cognitive decline. (<u>More information</u>)

- Several omega-3 formulations have been approved by the US Food and Drug Administration for the indication of treating severe hypertriglyceridemia. (*More information*)
- Although omega-3 PUFA deficiency may not be uncommon in neurodevelopmental and neuropsychiatric disorders, there is little evidence to suggest that supplementation may be a beneficial <u>adjunct</u> in the management of affected individuals. (*More information*)
- The Food and Nutrition Board of the US Institute of Medicine (now the National Academy of Medicine) established adequate intakes (<u>AI</u>) for omega-6 and omega-3 fatty acids. (<u>More information</u>)

Introduction

Omega-6 and omega-3 <u>fatty acids</u> are <u>polyunsaturated fatty acids</u> (PUFA), meaning they contain more than one *cis* double bond (1). In all omega-6 (ω 6 or n-6) fatty acids, the first double bond is located between the sixth and seventh carbon atom from the methyl end of the fatty acid. Likewise, all omega-3 fatty acids (ω 3 or n-3) have at least one double bond between the third and fourth carbon atom counting from the methyl end of the fatty acid. Scientific abbreviations for fatty acids tell the reader something about their chemical structure. For example, the scientific abbreviation for α -linolenic acid (ALA) is 18:3n-3. The first part (18:3) tells the reader that ALA is an 18-carbon fatty acid with three double bonds, while the second part (n-3) tells the reader that the first double bond is in the n-3 position, which defines this fatty acid as an omega-3 (**Figures 1a & b**). Double bonds introduce kinks in the hydrocarbon chain that influence the structure and physical properties of the fatty acid molecule (**Figure 1c**).

Although humans and other mammals can <u>synthesize saturated fatty acids</u> and some <u>monounsaturated fatty acids</u> from carbon groups in <u>carbohydrates</u> and <u>proteins</u>, they lack the delta (Δ) 12 and Δ 15 desaturase <u>enzymes</u> necessary to insert a *cis* double bond at the n-6 or the n-3 position of a fatty acid (<u>1</u>). Consequently, omega-6 and omega-3 fatty acids are essential nutrients. The parent fatty acid of the omega-6 series is linoleic acid (LA; 18:2n-6), and the parent fatty acid of the omega-3 series is ALA (**Figure 2** and **Table 1**). Humans can synthesize long-chain (20 carbons or more) omega-6 fatty acids, such as dihomo- γ -linolenic acid (DGLA; 20:3n-6) and arachidonic acid (AA; 20:4n-6), from LA and longchain omega-3 fatty acids, such as eicosapentaenoic acid (EPA; 20:5n-3) and docosahexaenoic acid (DHA; 22:6n-3), from ALA (see <u>Metabolism and Bioavailability</u>).

Figure 1. Chemical Structures of Fatty Acids



(a) The general structure of a fatty acid (22). (b) The chemical structure of α -linolenic acid (ALA), 18:3n-3. ALA has 18 carbon atoms (c) and 3 double bonds, the first of which is located 3 carbon atoms from the terminal methyl group (omega [ω] end). (c) The molecular structures of dietary omega-6 and omega-3 fatty acids. The presence of a double bond in the hydrocarbon chain of polyunsaturated fatty acids (PUFA) introduces a "kink" in the molecule, creating different secondary structures that influence physical properties (5).

[Figure 1a and 1b - Click to Enlarge] [Figure 1c - Click to Enlarge]

Figure 2. Essential Fatty Acids and Dietary Sources



Omega-6 (n-6) and omega-3 (n-3) fatty acids comprise the two classes of essential fatty acids (EFA). The parent compounds of each class, linoleic acid (LA) and α -linolenic acid (ALA) (bold font), give rise to longer chain derivatives inside the body. Due to low efficiency of conversion of ALA to the long-chain omega-3 PUFA, eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), it is recommended to obtain EPA and DHA from additional sources. Dietary sources of the different long-chain PUFA are listed in the figure.

[Figure 2 - Click to Enlarge]

Omega-6 Fatty Acids			Omega-3 Fatty Acids			
Linoleic acid	LA	18:2n-6	α-Linolenic acid	ALA	18:3n-3	
γ-Linolenic acid	GLA	18:3n-6	Stearidonic acid	SDA	18:4n-3	
Dihomo-γ-linolenic acid	DGLA	20:3n-6	Eicosatetraenoic acid	ETA	20:4n-3	
Arachidonic acid	AA	20:4n-6	Eicosapentaenoic acid	EPA	20:5n-3	
Adrenic acid		22:4n-6	Docosapentaenoic acid	DPA (n-3)	22:5n-3	
Tetracosatetraenoic acid		24:4n-6	Tetracosapentaenoic acid		24:5n-3	
Tetracosapentaenoic acid		24:5n-6	Tetracosahexaenoic acid		24:6n-3	
Docosapentaenoic acid	DPA (n-6)	22:5n-6	Docosahexaenoic acid	DHA	22:6n-3	

Table 1. Names and Abbreviations of the Omega-6 and Omega-3 Fatty Acids

Metabolism and Bioavailability

Prior to absorption in the <u>small intestine</u>, fatty acids must be <u>hydrolyzed</u> from dietary fats (<u>triglycerides</u> and <u>phospholipids</u>) by <u>pancreatic enzymes (2)</u>. <u>Bile</u> salts must also be present in the small intestine to allow for the incorporation of <u>fatty acids</u> and other fat digestion products into mixed <u>micelles</u>. Fat absorption from mixed micelles occurs throughout the small intestine and is 85%-95% efficient under normal conditions.

Concentrations of fatty acids in blood (i.e., whole blood, <u>plasma</u>, <u>serum</u>, and red blood cells) reflect both dietary intake and biological processes (3). Humans can <u>synthesize</u> longer omega-6 and omega-3 fatty acids from the essential fatty acids linoleic acid (LA) and α -linolenic acid (ALA), respectively, through a series of desaturation (addition of a double bond between two carbon atoms) and elongation (addition of two carbon atoms) reactions (**Figure 3**) (4, 5). LA and ALA compete for the same elongase and desaturase enzymes in the synthesis of longer <u>polyunsaturated fatty acids</u> (PUFA), such as arachidonic acid (AA), eicosapentaenoic acid (EPA), and docosahexaenoic acid (DHA).

Studies of ALA <u>metabolism</u> in healthy young men indicated that approximately 8% of dietary ALA was converted to EPA and 0%-4% was converted to docosahexaenoic acid (DHA) (6). In healthy young women, approximately 21% of dietary ALA was converted to EPA and 9% was converted to DHA (7). The better capacity to generate long-chain PUFA from ALA in young women compared to men is related to the effects of <u>estrogen (8, 9)</u>. Although only the essentiality of ALA is recognized because it cannot be synthesized <u>de novo</u> by humans, the relatively low rate of ALA conversion into EPA and DHA suggests that these long-chain omega-3 PUFA may be considered <u>conditionally essential nutrients</u>.

In addition to gender differences, genetic variability in enzymes involved in fatty acid metabolism influences one's ability to generate long-chain PUFA. Two key enzymes in fatty acid metabolism are delta 6 desaturase (FADS2) and delta 5 desaturase (FADS1) (**Figure 3**) (10). Two common <u>haplotypes</u> (a cluster of <u>polymorphisms</u>) in the FADS genes differ dramatically in their ability to generate long-chain PUFA: haplotype D is associated with increased FADS activity (both FADS1 and FADS2) and higher conversion rate of fatty acid <u>precursors</u> (LA and ALA) to long-chain PUFA (EPA, GLA, DHA, and AA) (11). These FADS polymorphisms are relatively common in the population and may explain up to 30% of the variability in blood concentrations of omega-3 and omega-6 fatty acids among individuals (3).

Finally, DHA can be retro-converted to EPA and DPA at a low basal rate and following <u>supplementation</u> (**Figure 3**) (12). After supplementing omnivores (n=8) and vegetarians (n=12) for six weeks with an EPA-free preparation of DHA (1.62 g/day), EPA, DPA, and DHA concentrations increased in serum and <u>platelet</u> phospholipids (13). Based on the measured changes, the estimated percent retroconversion of DHA to EPA was 7.4%-11.4% (based on serum phospholipid data) and 12.3%-13.8% (based on platelet phospholipid data), with no significant difference between omnivores and vegetarians. Due to this nontrivial retroconversion efficiency, DHA supplementation may represent an alternative to fish oil to increase blood and tissue concentrations of EPA, DPA, and DHA (see <u>Supplements</u>) (5).

Figure 3. Desaturation and Elongation of Essential Fatty Acids



Humans can synthesize longer omega-6 and omega-3 fatty acids from the essential fatty acids LA and ALA through a series of desaturation (addition of a double bond) and elongation (addition of two carbon atoms) reactions that occur in microsomes. Delta-6 desaturase (FADS2) is considered the rate-limiting enzyme in this metabolic pathway. Retroconversion of DHA to EPA in peroxisomes occurs at low basal rates and following DHA supplementation (4, 5). *FADS2, delta-6 desaturase; FADS1, delta-5 desaturase; Elovl2, Elovl5, elongases.*

[Figure 3 - Click to Enlarge]

Biological Activities

Membrane structure and function

Omega-6 and omega-3 <u>PUFA</u> are important structural components of <u>cell membranes</u>. When incorporated into <u>phospholipids</u>, they affect cell membrane properties, such as fluidity, flexibility, permeability, and the activity of membrane-bound <u>enzymes</u> and cell-signaling pathways (<u>14, 15</u>). In addition to <u>endogenous metabolism</u>, dietary consumption of <u>fatty acids</u> can modify the composition and molecular structure of cellular membranes. Thus, increasing omega-3 fatty acid intake increases the omega-3 content of red blood cells, immune cells (<u>16</u>), <u>atherosclerotic</u> plaques (<u>17</u>), cardiac tissue (<u>18</u>), and other cell types throughout the body.

DHA is selectively incorporated into <u>retinal</u> cell membranes and postsynaptic <u>neuronal</u> cell membranes, suggesting it plays important roles in vision and nervous system function. In fact, DHA represents the predominant PUFA in the retina and neuronal cells (19).

Vision

DHA is found at very high concentrations in the <u>cell membranes</u> of the <u>retina</u>; the retina conserves and recycles DHA even when omega-3 <u>fatty acid</u> intake is low (20). Animal studies indicate that DHA is required for the normal development and function of the retina. Moreover, these studies suggest that there is a critical period during retinal development when inadequate DHA will result in permanent abnormalities in retinal function. Research indicates that DHA plays an important role in the regeneration of the visual pigment rhodopsin, which plays a critical role in the visual transduction system that converts light hitting the retina to visual images in the brain (21).

Nervous system

The <u>phospholipids</u> of the brain's <u>gray matter</u> contain high proportions of long-chain <u>PUFA</u>, suggesting they are important to <u>central nervous system</u> function (22). AA stimulates <u>glucose</u> uptake by cortical <u>astrocytes</u>, meaning that it is important for energy <u>metabolism (23)</u>. AA and DHA also increase the release of acetylcholine, which enhances <u>synaptic plasticity</u> and memory, thereby improving learning abilities (24). Although trials of PUFA supplementation during pregnancy and/or early infancy failed to show <u>cognitive</u> improvements in offspring (see <u>Disease Prevention</u>), the availability of omega-3 and omega-6 <u>fatty acids</u> to the fetus and infants is essential for the growth of their brain and development of brain functions. There is compelling evidence to suggest that PUFA are essential to <u>neuronal</u> growth and <u>synapse</u> formation, and for appropriate neurotransmission (reviewed in <u>25</u>).

Synthesis of lipid mediators

Oxylipins

Oxylipins are potent chemical messengers derived from PUFA. They play critical roles in immune and <u>inflammatory</u> responses. The most common oxylipins are eicosanoids that encompass numerous bioactive <u>lipid</u> mediators derived from 20-carbon ("eicosa-") AA. Following stimulation by <u>hormones</u>, <u>cytokines</u>, and other stimuli, PUFA bound to membrane phospholipids are released from <u>cell membranes</u> and become <u>substrates</u> for dodecanoid, eicosanoid, and docosanoid production. Oxylipin <u>synthesis</u> relies primarily on three families of <u>enzymes</u>: cyclooxygenases (COX), lipoxygenases (LOX), and <u>cytochrome p450 mono-oxygenases</u> (P450s) (26). From C_{18} - C_{22} precursors, COX enzymes produce <u>prostaglandins</u>, prostacyclins, and thromboxanes (collectively known as prostanoids); LOX produces <u>leukotrienes</u> and hydroxy fatty acids; and P450s produce hydroxyeicosatetraenoic acids ("HETEs") and epoxides (**Figure 4**).

Physiological responses to AA-derived eicosanoids differ from responses to EPA-derived eicosanoids. In general, EPA is a poor substrate for eicosanoid production and EPA-derives eicosanoids are less potent inducers of <u>inflammation</u>, blood vessel constriction, and <u>coagulation</u> than eicosanoids derived from AA (19, 27).

Nonetheless, it is an oversimplification to label all AA-derived eicosanoids as pro-inflammatory. AA-derived prostaglandins induce inflammation but also inhibit pro-inflammatory leukotrienes and cytokines and induce anti-inflammatory lipoxins, thereby modulating the intensity and duration of the inflammatory response via negative feedback (**Figure 4**) (<u>17</u>).



Figure 4. Bioactive Lipid Mediators Derived from Omega-6 and Omega-3 Fatty Acids

Dietary intake can alter the fatty acid composition of cell membranes and influence the local production of bioactive lipid mediators. Each PUFA precursor gives rise to a variety of molecules with a range of immune-modulating activities: inflammatory (red), anti-inflammatory (blue), and pro-resolving (green). Isoprostanes (yellow) are markers of oxidative stress (16, 24, 27).

[Figure 4 - Click to Enlarge]

Pro-resolving mediators

A separate class of <u>PUFA</u>-derived bioactive <u>lipids</u>, specialized pro-resolving mediators (SPMs), has been more recently identified (reviewed in <u>28</u>). These molecules function as local mediators of the resolution phase of <u>inflammation</u>, actively turning off the inflammatory response. SPMs are derived from both omega-6 and omega-3 PUFA (**Figure 4**) (<u>29</u>). The S-series of SPMs results from the LOX-mediated oxygenation of EPA and DHA, giving rise to S-resolvins, S-protectins, and S-maresins. A second class of SPMs, the R-series, is generated from the aspirin-dependent <u>acetylation</u> of COX-2 and subsequent generation of aspirin-triggered SPMs from AA, EPA, and DHA. It appears that these mediators may explain many of the anti-inflammatory actions of omega-3 <u>fatty acids</u> that have been described (<u>16, 30</u>).

Isoprostanes

Isoprostanes are <u>prostaglandin</u>-like compounds that are formed by non-<u>enzymatic</u>, <u>free radical</u>-induced <u>oxidation</u> of any <u>PUFA</u> with three or more double bonds (**Figure 4**) (26). Because they are produced upon exposure to free radicals, isoprostanes are often used as markers for <u>oxidative stress</u>. In contrast to prostanoids, isoprostanes are <u>synthesized</u> from esterified PUFA <u>precursors</u> and remain bound to the membrane <u>phospholipid</u> until cleaved by PLA₂ and released into circulation. In addition to being used as markers of oxidative stress, isoprostanes may also function as <u>inflammatory</u> mediators, exerting both pro- and anti-inflammatory effects (26).

Regulation of gene expression

PUFA are pleiotropic regulators of cell function. They can regulate gene expression directly by interacting with transcription factors or indirectly by influencing membrane lipid composition and cell signaling pathways.

The results of cell culture and animal studies indicate that omega-6 and omega-3 <u>fatty acids</u> can modulate the <u>expression</u> of a number of <u>genes</u>, including those involved with fatty acid <u>metabolism</u> and <u>inflammation (31, 32)</u>. Omega-6 and omega-3 fatty acids regulate gene expression by interacting with specific <u>transcription factors</u>, such as peroxisome proliferator-activated receptors (PPARs) <u>(33)</u>. In many cases, <u>PUFA</u> act like <u>hydrophobic hormones</u> (e.g., <u>steroid</u> hormones) to control gene expression and bind directly to <u>receptors</u> like PPARs. These <u>ligand</u>-activated receptors then bind to the <u>promoters</u> of genes and function to increase/decrease <u>transcription</u>.

In other cases, PUFA regulate the abundance of transcription factors inside the cell's <u>nucleus (14)</u>. Two examples include NF_xB and SREBP-1. NF_xB is a transcription factor involved in regulating the expression of multiple genes involved in inflammation. Omega-3 PUFA suppress NF_xB nuclear content, thus inhibiting the production of inflammatory <u>eicosanoids</u> and <u>cytokines</u>. SREBP-1 is a major transcription factor controlling fatty acid <u>synthesis</u>, both <u>de novo</u> <u>lipogenesis</u> and PUFA synthesis. Dietary PUFA can suppress SREBP-1, which decreases the expression of <u>enzymes</u> involved in fatty acid synthesis and PUFA synthesis. In this way, dietary PUFA function as feedback inhibitors of all fatty acid synthesis.

By altering cell membrane fluidity, fatty acids can interfere with the activity of membrane receptor systems and thus indirectly influence signaling pathways and gene expression (34).

Deficiency

Essential fatty acid deficiency

Clinical signs of essential <u>fatty acid</u> deficiency include a dry scaly rash, decreased growth in infants and children, increased susceptibility to infection, and poor wound healing (<u>35</u>). Omega-3, omega-6, and omega-9 fatty acids compete for the same desaturase <u>enzymes</u>. The desaturase enzymes show preference for the different series of fatty acids in the following order: omega-3 > omega-6 > omega-9. Consequently, <u>synthesis</u> of the omega-9 fatty acid eicosatrienoic acid (20:3n-9, mead acid, or 5,8,11-eicosatrienoic acid) increases only when dietary intakes of omega-3 and omega-6 fatty acids are very low; therefore, mead acid is one marker of essential fatty acid deficiency (<u>36</u>). A <u>plasma</u> eicosatrienoic acid:arachidonic acid (triene:tetraene) ratio greater than 0.2 is generally considered indicative of essential fatty acid deficiency (<u>35, 37</u>). In patients who were given <u>total parenteral nutrition</u> containing fat-free, <u>glucose-amino acid</u> mixtures, biochemical signs of essential fatty acid deficiency developed in as little as 7 to 10 days (<u>38</u>). In these cases, the

continuous glucose infusion resulted in high circulating <u>insulin</u> concentrations, which inhibited the release of essential fatty acids stored in <u>adipose tissue</u>. When glucose-free amino acid solutions were used, parenteral nutrition up to 14 days did not result in biochemical signs of essential fatty acid deficiency. Essential fatty acid deficiency has also been found to occur in patients with chronic fat <u>malabsorption (39)</u> and in patients with <u>cystic fibrosis (40)</u>. It has been proposed that essential fatty acid deficiency may play a role in the <u>pathology</u> of protein-energy malnutrition (<u>36</u>).

Omega-3 fatty acid deficiency

At least one case of isolated omega-3 <u>fatty acid</u> deficiency has been reported. A young girl who received <u>intravenous lipid</u> emulsions with very little ALA developed visual problems and sensory <u>neuropathy</u>; these conditions were resolved when she was administered an emulsion containing more ALA (<u>41</u>). Isolated omega-3 fatty acid deficiency does not result in increased <u>plasma</u> triene:tetraene ratios, and skin <u>atrophy</u> and <u>dermatitis</u> are absent (<u>1</u>). Plasma DHA concentrations decrease when omega-3 fatty acid intake is insufficient, but no accepted plasma omega-3 fatty acid or <u>eicosanoid</u> concentrations indicative of impaired health status have been defined (<u>1</u>). Studies in rodents have revealed significant impairment of n-3 <u>PUFA</u> deficiency on learning and memory (<u>42, 43</u>), prompting research in humans to assess the impact of omega-3 PUFA on <u>cognitive</u> development and cognitive decline (see <u>Cognitive and visual development</u> and <u>Alzheimer's disease</u>).

Omega-3 index

The <u>omega-3 index</u> is defined as the amount of EPA plus DHA in red blood <u>cell membranes</u> expressed as the percent of total red blood cell membrane <u>fatty acids (44)</u>. The EPA + DHA content of red blood cell membranes correlates with that of cardiac muscle cells (<u>45, 46</u>), and several <u>observational studies</u> indicate that a lower omega-3 index is associated with an increased <u>risk</u> of <u>coronary heart disease</u> mortality (<u>47</u>). It is therefore proposed that the omega-3 index be used as a <u>biomarker</u> for <u>cardiovascular disease</u> risk, with suggested cutoffs as follows: high risk, <4%; intermediate risk, 4%-8%; and low risk, >8% (<u>48</u>).

Supplementation with EPA + DHA from fish oil capsules for approximately five months dose-dependently increased the omega-3 index in 115 healthy, young adults (ages, 20-45 years), validating the use of the omega-3 index as a biomarker of EPA + DHA intake (49). Before the omega-3 index can be used in routine clinical evaluation, however, clinical reference values in the population must be established (50). Additionally, fatty acid <u>metabolism</u> may be altered in certain disease states, potentially making the omega-3 index less relevant for some <u>cardiovascular</u> conditions (5).

Disease Prevention

Pregnancy and early childhood developmental outcomes

Supplementation during pregnancy

Effect on pregnancy-associated conditions and neonatal outcomes: The results of randomized controlled trials during pregnancy suggest that omega-3 polyunsaturated fatty acid (PUFA) supplementation does not decrease the incidence of gestational diabetes and preeclampsia (51-54) but may result in modest increases in length of gestation, especially in women with low omega-3 fatty acid consumption. A 2006 meta-analysis of six randomized controlled trials in women with low-risk pregnancies found that omega-3 PUFA supplementation during pregnancy resulted in an increased length of pregnancy by 1.6 days (55). A 2007 meta-analysis of randomized controlled trials in women with high-risk pregnancies found that supplementation with long-chain PUFA did not affect pregnancy duration or the overall incidence of premature births (birth before 37 weeks' gestation) but decreased the incidence of early premature births (birth before 34 weeks' gestation; 2 trials, 291 participants) (56). Analyses of the secondary outcomes of the 2010 DHA to Optimize Mother-Infant Outcome (DOMInO) trial in 2,399 participants showed that supplementation with DHA-enriched fish oil capsules (800 mg/day of DHA and 100 mg/day of EPA) during pregnancy (from <21 gestational weeks until birth) reduced the risk of early premature birth but increased the risk of obstetrical interventions like the need for induction or cesarean section, when compared to supplementation with DHA-free vegetable oil capsules (57). A 2016 meta-analysis of trials found evidence to suggest that omega-3 PUFA supplementation during pregnancy reduced the overall risk of prematurity and the risk of early premature births, increased gestational age at delivery and birth weight, and had no effect on the risks of perinatal death and low Apgar scores at 1 minute post birth (58). A dose-response analysis found a continuous reduction of the risks of early premature birth (birth before 34 weeks' gestation) and very low birth weight (birth weight <1,500 g) with daily doses of DHA supplement up to at least 600 mg during pregnancy (59). There is currently limited evidence to

support a role for omega-3 supplementation in the prevention of recurrent intrauterine growth restriction (IUGR) $(\underline{60})$ or recurrent preterm birth $(\underline{61})$.

Effect on children's cognitive and visual development: The effect of maternal omega-3 long-chain PUFA supplementation on early childhood cognitive and visual development was summarized in a 2013 systematic review and meta-analysis (62). Included in this assessment were 11 randomized controlled trials (a total of 5,272 participants) that supplemented maternal diet with omega-3 long-chain PUFA during pregnancy or both pregnancy and lactation. Results regarding visual outcomes (eight trials) could not be pooled together due to variability in assessments; overall, four of six trials had null findings and the remaining two trials had very high rates of attrition. Cognitive outcomes (nine trials) included the Developmental Standard Score (DSS; in infants, toddlers, and preschoolers) or Intelligence Quotient (IQ; in children) and other aspects of neurodevelopment, such as language, behavior, and motor function. No differences were found between DHA and control groups for <u>cognition</u> measured with standardized psychometric scales in infants (<12 months), toddlers (12-24 months), and school-aged children (5-12 years); preschool children (2-5 years) in the DHA treatment group had a substantially higher DSS score compared to controls. The authors noted that many of the trials of long-chain PUFA supplementation in pregnancy had methodological weaknesses (e.g., high rates of attrition, small sample sizes, high risk of bias, multiple comparisons), limiting the confidence and interpretation of the pooled results. Of note, a seven-year follow-up of the DOMInO trial is currently underway to assess the effect of DHA supplementation during pregnancy on child IQ and various measures of cognitive development (e.g., executive functioning, memory, language) (63).

Effect on children's body composition: The follow-up of 1,531 children whose mothers were <u>randomized</u> to <u>supplemental</u> DHA (800 mg/day) or a control during the second half of pregnancy in the DOMInO trial showed no effect of maternal DHA supplementation on the <u>body mass index</u> (BMI)-for-age z score and percentage of body fat of their children at three and five years of age (<u>64</u>). Measures of <u>insulin resistance</u> in 5-year-old children were unexpectedly higher in children whose mothers were in the DHA group than in those whose mothers were in the control group (<u>64</u>). Further analyses conducted in a subset of children (252) at age seven years again showed no effect of DHA supplementation on BMI z score, percentage of body fat, height, weight, and waist/hip circumference (<u>65</u>). Current evidence from 10 <u>randomized controlled trials</u> primarily conducted in high-income countries (all but one) suggests no influence of maternal supplementation with long-chain PUFA on the body composition and <u>anthropometry</u> of the offspring (<u>66</u>).

Effect on children's risk of allergies and asthma: A 2018 meta-analysis of randomized controlled trials in 2,047 children followed for six months to 16 years found a 19% lower risk of wheezing and/or asthma with maternal supplementation of omega-3 PUFA (primarily EPA and DHA) from as early as the 20th week of gestation until delivery (<u>67</u>). However, there was no effect of prenatal supplementation when the analysis was restricted to the three trials that reported on the incidence of childhood asthma only (<u>67</u>). Another meta-analysis of nine trials in 3,637 children, including three trials in which maternal supplementation with omega-3 PUFA continued after birth, found no effect of prenatal supplements on the risk of any allergy (three trials), the risk of wheeze and/or asthma (seven trials), the risk of eczema (six trials), the development of allergic rhinitis (two trials), and the risk of food allergy (three trials) in children (<u>68</u>). There was, however, some evidence to suggest that prenatal supplementation could lower the incidence of sensitization to specific allergens, namely egg (three trials; -46%) and peanut (two trials; -38%) (<u>68</u>).

Supplementation to breast-feeding mothers

A 2015 <u>systematic review</u> and <u>meta-analysis</u> summarized the results of eight <u>randomized controlled trials</u> that examined the effect of maternal <u>supplementation</u> with long-chain <u>PUFA</u> during either pregnancy and lactation or lactation only on the development and growth of their infants over the first two years of life and beyond <u>(69)</u>. All studies were conducted in high-income countries. No differences between long-chain PUFA supplementation and control were observed in terms of language development, intelligence or problem-solving ability, psychomotor development, and <u>anthropometric</u> measurements (weight, length/height, head circumference, <u>BMI</u>, fat mass distribution) <u>(69)</u>.

Supplementation in infants

The last trimester of pregnancy and first six months of postnatal life are critical periods for the accumulation of DHA in the brain and retina (70). Human milk contains a mixture of saturated fatty acids (~46%), monounsaturated fatty acids (~41%), omega-6 PUFA (~12%), and omega-3 PUFA (~1.3%) (71). Although human milk contains DHA in addition to ALA and EPA, ALA was the only omega-3 fatty acid present in conventional infant formulas until the year 2001. Although infants can synthesize DHA from ALA, they generally cannot synthesize enough to prevent declines in plasma

and cellular DHA concentrations without additional dietary intake. Therefore, it was proposed that infant formulas be supplemented with enough DHA to bring plasma and cellular DHA concentrations of formula-fed infants up to those of breast-fed infants (72).

All infants: Although formulas enriched with DHA raise <u>plasma</u> and red blood cell DHA concentrations in preterm and term infants, the results of <u>randomized controlled trials</u> examining measures of visual acuity and <u>neurological</u> development in infants fed formula with or without added DHA have been mixed. For instance, a 2012 <u>meta-analysis</u> of randomized controlled trials (12 trials, 1,902 infants) comparing long-chain <u>PUFA</u>-supplemented and unsupplemented formula, started within one month of birth, found no effect of long-chain PUFA supplementation on infant <u>cognition</u> assessed at approximately one year of age (<u>73</u>). A lack of effect was observed regardless of the dose of long-chain PUFA or the prematurity status of the infant. With respect to visual acuity, a 2013 meta-analysis of randomized controlled trials (19 trials, 1,949 infants) found a beneficial effect of long-chain PUFA-supplemented formula, started within one month of age (<u>74</u>). Notably, two different types of visual acuity assessment were evaluated in the meta-analysis. Visual acuity assessed by using the Visually Evoked Potential (10 trials, 852 infants) showed a significant positive effect of long-chain PUFA-supplemented formula at 2, 4, and 12 months of age. When assessed by the Behavioral Method (12 trials, 1,095 infants), a significant benefit of long-chain PUFA-supplemented formula at 2, 4, and 12 months of age. When assessed by the Behavioral Method (12 trials, 1,095 infants), a significant benefit of long-chain PUFA-supplemented formula on visual acuity was found only at the age of two months. No moderating effects of dose or prematurity status were observed.

Preterm infants: A few trials have been specifically conducted in preterm infants. This is the case of the DHA for the Improvement of Neurodevelopmental Outcome (DINO) trial that initially enrolled 657 very preterm infants (born <33 gestational weeks) in five Australian hospitals (75). The aim of the trial was to examine the effect of enteral feeds with either high DHA (1% of total fatty acids) or standard DHA level (0.3% of total fatty acids) to preterm infants from age 2 to 4 days of life until term's corrected age (mean duration, 9.4 weeks) on their mental and psychomotor development, assessed at 18 months' and 7 years' corrected ages. At the 18-month follow-up, there was no difference in mean Mental Development Index (MDI) and Psychomotor Development Index (PDI) test scores between high-DHA and standard-DHA groups; yet, better MDI scores in girls fed high-DHA versus those fed standard-DHA feeds were reported in subgroup analyses (75). Post-hoc analyses also suggested fewer cases with delayed mental development among girls and infants weighing <1,250 kg at birth in the high- versus standard-DHA group (75). Follow-up at 7 years' corrected age showed no difference between groups in measures of IQ and cognitive development, including attention, short-term verbal memory and learning ability, executive functioning, visual perception, and academic achievement (76). A 2016 systematic review of 17 trials found little evidence to suggest that supplementing preterm infants with long-chain PUFA (primarily AA and DHA) improved measures of visual acuity, neurodevelopment, and physical growth during infancy (77).

Cardiovascular disease

Omega-6 fatty acids

Linoleic acid (LA) is the most abundant dietary <u>PUFA</u> and accounts for approximately 90% of dietary omega-6 PUFA intake (78).

Observational studies: A pooled analysis of 13 prospective cohort studies, encompassing 310,602 individuals and 12,479 coronary heart disease (CHD) events (of which resulted in 5,882 CHD deaths) over follow-up periods of 5.3 to 30 years, found higher LA intakes to be associated with a 15% lower risk of CHD events and a 21% lower risk of CHD mortality (79). A dose-response analysis found that replacing 5% of energy from saturated fatty acids with LA was associated with a 9% lower risk of coronary events and a 13% lower risk of coronary deaths (79). A 2019 meta-analysis of 30 prospective cohort studies in 68,659 participants found that individuals in the highest versus lowest quintile of LA concentrations in tissues (primarily blood compartments) had a 23% lower risk of CHD, ischemic stroke, or total cardiovascular disease (80).

Randomized controlled trials: Taking into consideration the results from four <u>randomized controlled trials (81-85)</u> that compared the effects of diets either high in <u>saturated fatty acids</u> or <u>PUFA</u> over at least two years, a 2016 <u>systematic review</u> and presidential advisory from the American Heart Association concluded that lowering saturated fat intake and replacing it with vegetable oil rich in PUFA (primarily soybean oil) could reduce the <u>risk</u> of <u>CHD</u> by 29% (<u>86</u>). Of note, these trials were conducted in the 1960s and 1970s, when the use of <u>cholesterol</u>-lowering drug statin was not widespread and the saturated fat content in diets was higher; all but one trial (<u>84, 85</u>) were in men with diagnosed <u>cardiovascular disease</u> (CVD). Among these four trials, the Oslo Diet-Heart Study (<u>83</u>) increased both omega-3 and omega-6 PUFA intake, and the Finnish Mental Hospital Study (<u>84, 85</u>) used a <u>cross-over design</u> — both trials were excluded from a Cochrane

systematic review of 19 randomized controlled trials that examined the effect of increasing omega-6 PUFA intake on CVD outcomes (<u>87</u>). Of these 19 trials, seven assessed the effect of supplemental γ-linolenic acid (GLA) and 12 assessed the effect of substituting dietary LA for saturated or monounsaturated fatty acids. The pooled analysis of studies showed no effect of increasing omega-6 intake on the risks of CHD or CVD events, major adverse cardiac and cerebrovascular events, myocardial infarction (MI), stroke, CVD mortality, or all-cause mortality (low-quality evidence) (<u>87</u>). Moreover, many trials that examined the effect of replacing saturated fatty acids with mostly omega-6 PUFA may not have been adequately controlled. For example, in some trials, only the experimental group (the high omega-6 PUFA group) received dietary advice regarding more than just replacing saturated fatty acids by omega-3 PUFA, e.g., to avoid dietary sources of *trans* fatty acids and processed foods, to consume more whole-plant foods, to lower sugar consumption, to increase consumption of fish and shellfish, which could have biased the results (<u>88</u>). Additionally, a recent meta-analysis of trials with low risk of bias (i.e., free of differences between intervention and control groups other than those under examination) showed no evidence of an effect of substituting omega-6 PUFA for saturated fatty acids on the risks of major CHD events (MI and sudden death), total CHD events, CHD mortality, and all-cause mortality (<u>88</u>).

Yet, replacing dietary saturated fatty acids with omega-6 PUFA was consistently found to lower total blood <u>cholesterol</u> concentrations (87, 89). In fact, LA has been shown to be the most potent fatty acid for lowering total cholesterol when substituted for dietary saturated fatty acids (90). The potential mechanisms by which LA reduces blood cholesterol include (1) the upregulation of LDL receptor and redistribution of LDL-cholesterol from plasma to tissue, (2) the increase in <u>bile acid</u> production and cholesterol <u>catabolism</u>, and (3) the decreased <u>VLDL</u>-to-LDL conversion (91). However, if substituting omega-6 PUFA for saturated fatty acids can reduce blood cholesterol, the most recent systematic reviews and meta-analyses have failed to find evidence of clinical cardiovascular benefits (see above) (87, 88, 92).

Omega-3 fatty acids

Observational studies: A <u>meta-analysis</u> of 17 <u>prospective</u> and two <u>retrospective</u> cohort studies in 45,637 generally healthy participants found that circulating concentrations of α -linolenic acid (ALA) and longer chain omega-3 <u>PUFA</u> (i.e., eicosapentaenoic acid [EPA], docosapentaenoic acid [DPA], docosahexaenoic acid [DHA]) were <u>inversely associated</u> with the <u>risk</u> of fatal <u>coronary heart disease</u> (CHD) (93).

Several observational studies also examined the relationship between dietary ALA intake and the risk of CHD. A 2018 meta-analysis of 14 prospective cohort studies in a total of 345,202 participants free of <u>cardiovascular disease</u> (CVD) evaluated the risk of composite CHD outcomes (combining different CHD events) and fatal CHD in relation to dietary consumption of ALA (94). Overall, the pooled analysis found a 9% lower risk of composite CHD outcomes and a 15% lower risk of fatal CHD with higher ALA exposure (94). Further, a number of prospective cohort studies have examined the consumption of fish, rich in long-chain omega-3 PUFA (mainly EPA and DHA), in relation to various <u>cardiovascular</u> events and mortality. A 2018 review of the evidence and advisory from the American Heart Association concluded that seafood intake was associated with modestly lower risks of CHD, ischemic stroke, and sudden cardiac death, and noted a greater benefit when intake went from zero to one or two seafood meals per week and when seafood was substituted for less healthy options like processed meat (95). In contrast, recently published meta-analyses of prospective cohort studies found little evidence of inverse associated with lower risks of myocardial infarction (MI) (98) and <u>congestive heart failure</u> (96). In addition, one meta-analysis of 12 prospective cohort studies found a 6% lower risk of all-cause mortality with the highest versus lowest level of fish consumption (99). Yet, another meta-analysis found no association between fish intake and all-cause mortality but a 4% lower risk of CVD mortality for each 20-g/day increment in fish intake (100).

The potential cardiovascular benefit of seafood consumption appears to be tightly linked to the type of seafood (e.g., fatty or lean fish), the way it is prepared (e.g., baked, broiled, or fried), the presence of toxic metals and environmental contaminants, and the habitual level of consumption (high versus low) — these factors may be <u>confounding</u> the results reported in observational studies and pooled analyses (95). Although seafood is a good source of long-chain omega-3 PUFA, health benefits associated with fish consumption could be attributed to the presence of other nutritional factors (e.g., <u>micronutrients</u> and high-quality protein) and that seafood consumption is usually a marker of higher socioeconomic status, as well as healthy lifestyles (101, 102).

Randomized controlled trials: A 2018 Cochrane <u>systematic review</u> assessed the evidence for a cardioprotective effect of ALA and long-chain omega-3 <u>PUFA</u> in individuals either at low or high <u>risk</u> of <u>CVD (103)</u>. Moderate-to-high quality evidence from <u>randomized controlled trials</u> (of at least 12 months) suggested no effect of omega-3 PUFA (either supplemented, enriched in meals, or advised to be consumed) on the risk of <u>CHD</u> events, CVD events, <u>arrhythmia, stroke</u>, CHD mortality, CVD mortality, or all-cause mortality. There was also no evidence of an effect on secondary outcomes,

including major adverse <u>cerebrovascular</u> or cardiovascular events, MI, sudden cardiac death, <u>angina pectoris</u>, <u>heart</u> <u>failure</u>, revascularization, <u>peripheral arterial disease</u>, and acute coronary syndrome (103). A 2017 review and advisory from the American Heart Association found no evidence to suggest a benefit of long-chain omega-3 PUFA supplementation for the prevention of cardiovascular mortality in patients with or at risk of type 2 <u>diabetes mellitus</u>, the prevention of CHD in patients with <u>atherosclerotic</u> disease (e.g., with prior stroke, peripheral vascular disease, diabetes, hypercholesterolemia), the prevention of stroke in patients with or without a history of stroke, and the prevention of <u>atrial</u> <u>fibrillation</u> in patients with prior atrial fibrillation or in those undergoing cardiac surgery (104). There was some evidence to suggest that supplementation with long-chain omega-3 PUFA in patients with prior clinical CHD might reduce the risk of CHD death, possibly because of a reduction in the risk of <u>ischemia</u>-induced sudden cardiac death (104).

Hypertriglyceridemia (borderline high: serum triglycerides 150-199 mg/dL; high: serum triglycerides >200 mg/dL) is an independent risk factor for cardiovascular disease (105). Numerous controlled clinical trials have demonstrated that increasing intakes of EPA and DHA significantly lower serum triglyceride concentrations (103). The triglyceride-lowering effects of EPA and DHA increase with dose (106), but clinically meaningful reductions in serum triglyceride concentrations have been demonstrated at doses of 2 g/day of EPA + DHA (107). Although long-chain omega-3 PUFA can reduce triglyceride concentrations, they have no effect on total cholesterol, LDL-cholesterol, or HDL-cholesterol in blood (103). Of note, the mechanisms by which long-chain omega-3 PUFA supplements may reduce CHD death are unlikely to involve a lowering of triglycerides as doses used in the studies (~1 g/day) were generally too low (104). Some studies in cell culture indicated that long-chain omega-3 PUFA may decrease the excitability of cardiac muscle cells (myocytes) by modulating ion channel conductance, which would be consistent with anti-arrhythmic effects observed in animal models (see also Hypertriglyceridemia) (108, 109).

Summary

Replacing dietary <u>saturated fatty acids</u> with omega-6 <u>PUFA</u> lowers total blood <u>cholesterol</u>, yet there is no convincing evidence of an effect of omega-6 PUFA on the <u>risk</u> of major <u>CVD</u> events. Although evidence supports the adoption of a heart-healthy dietary pattern that includes two servings of seafood per week (<u>95</u>), supplementation with long-chain omega-3 <u>fatty acids</u> is unlikely to result in <u>cardiovascular</u> benefits in generally healthy people with a low CVD risk or in individuals at risk of or with type 2 <u>diabetes mellitus (104</u>). In its recommendations regarding omega-3 fatty acids and cardiovascular disease (see <u>Intake Recommendations</u>), the American Heart Association indicates that long-chain omega-3 PUFA supplementation may be useful to reduce mortality in patients with prevalent <u>CHD</u> (e.g., who suffered a recent MI) and in those with <u>heart failure</u> without preserved <u>ventricular</u> function (<u>104</u>).

Cardiometabolic risk factors in individuals with diabetes mellitus

Type 2 diabetes mellitus: <u>Cardiovascular disease</u> is the leading cause of death in individuals with <u>diabetes mellitus</u>. The <u>dyslipidemia</u> typically associated with diabetes is characterized by a combination of hypertriglyceridemia (<u>serum</u> <u>triglycerides</u> >200 mg/dL), low <u>HDL</u>-cholesterol, and abnormal <u>LDL</u>-cholesterol (<u>110</u>). Lipid-lowering therapy to normalize diabetic dyslipidemia and reduce <u>cardiovascular risk</u> includes lifestyle modification and medications — particularly the use of cholesterol-lowering statins (<u>111, 112</u>). Additionally, achieving <u>glucose</u> control in people with type 2 diabetes has been shown to decrease the occurrence of major microvascular and macrovascular events (<u>113</u>).

A 2014 <u>meta-analysis</u> of 19 <u>randomized controlled trials</u>, including 24,788 individuals with either impaired glucose <u>metabolism</u> or type 2 diabetes mellitus, found that long-chain omega-3 <u>PUFA supplementation</u> (doses, 360-10,000 mg/day; for 6 weeks to 6 years) lowered serum triglyceride concentrations by 0.25 mmol/L but had no substantial effect on total cholesterol, LDL-cholesterol, or HDL-cholesterol (<u>114</u>). There was also no significant effect on <u>HbA1c</u>, fasting glucose, blood pressure, heart rate, or a measure of endothelial function. Four trials that lasted over a year reported on cardiovascular outcomes, including mortality. The pooled analysis of these trials found no effect of supplementation with omega-3 PUFA on the risk of major cardiovascular events, cardiovascular mortality, all-cause mortality, or a composite endpoint of all-cause mortality and hospitalization for a cardiovascular cause. It is worth noting that two of these trials — the Alpha Omega Trial (<u>115</u>) and the ORIGIN trial (<u>116</u>) — included a high proportion of participants who took cardiovascular medications (i.e., cholesterol-lowering statins) (<u>114</u>). Another meta-analysis of 45 randomized controlled trials in 2,674 participants with type 2 diabetes found that supplementation with omega-3 (400-1,800 mg/day for 2 weeks to 2 years) led to small decreases in blood concentrations of triglycerides, VLDL-triglycerides, LDL-cholesterol, and vLDL-cholesterol (<u>117</u>). There was no evidence of an effect on total cholesterol, HDL-cholesterol, non-esterified fatty acids, apolipoprotein-A1, and apolipoprotein-B. There was a reduction in circulating concentrations of pro-inflammatory cytokines, TNF- α and IL-6, in response to omega-3 supplementation, yet not of <u>C-reactive protein</u> (CRP) — a marker of

low-grade <u>inflammation</u>. Omega-3 PUFA supplementation had no effect on <u>systolic</u> or <u>diastolic blood pressure</u>. Finally, a small decrease in HbA1c was reported in response to supplemental omega-3 fatty acids, yet there was no effect on other indicators of glycemic control, especially fasting glucose, fasting <u>insulin</u>, connecting (C-) peptide, and a measure of <u>insulin resistance (117)</u>.

Lifestyle changes involving dietary modifications, such as the substitution of healthy fats (mono- and poly-unsaturated fatty acids) for <u>saturated</u> and <u>trans fats</u>, are recommended to reduce the risk of cardiovascular disease in people with type 2 diabetes mellitus (<u>118</u>). In their most recent updated recommendations on the prevention of cardiovascular disease in adults with type 2 diabetes, the American Diabetes Association and American Heart Association found insufficient evidence from large-scale randomized trials in individuals with type 2 diabetes to support the use of omega-3 fatty acid supplements (combined with a heart-healthy diet) in the prevention of cardiovascular events (<u>118</u>).

Gestational diabetes: Poor glycemic control during pregnancy, whether due to type 1 <u>diabetes</u>, type 2 diabetes, or <u>gestational</u> diabetes, increases the <u>risk</u> of fetal anomalies, <u>preeclampsia</u>, spontaneous abortion, stillbirth, <u>macrosomia</u>, neonatal <u>hypoglycemia</u>, and neonatal hyperbilirubinemia (<u>119</u>). Diabetes during pregnancy is also associated with a higher risk of metabolic disorders in offspring later in life (<u>119</u>). A team of investigators in Iran examined the effect of omega-3 <u>PUFA supplementation</u> during pregnancy, beginning at 24 to 28 weeks' gestation for six weeks, in women with gestational diabetes. Overall, there was evidence of beneficial effects of 1,000 mg/day of omega-3 alone (<u>120</u>) or together with <u>vitamin E (121)</u> or <u>vitamin D (122)</u> on markers of <u>glucose homeostasis</u> and, to a lesser extent, on markers of <u>oxidative stress</u> and <u>inflammation</u> and blood <u>lipid</u> profile. In one <u>randomized</u>, <u>placebo</u>-controlled trial in 60 women with gestational diabetes, supplementation with omega-3 fatty acids and vitamin E reduced the risk of neonatal hyperbilirubinemia yet had no effect on the rate of cesarean section, need for <u>insulin</u> therapy, maternal hospitalization, newborns' hospitalization, gestational age, birth size, and <u>Apgar score (122)</u>.

Current recommendations by the American Diabetes Association for the management of gestational diabetes encourage the development of an individualized nutrition plan between a woman and a registered dietitian, highlighting the importance of the amount and type of <u>carbohydrates</u> in the diet <u>(119)</u>. The use of omega-3 supplements in the management of gestational diabetes is not currently under consideration.

Type 2 diabetes mellitus

A <u>meta-analysis</u> of 13 <u>randomized</u>, controlled feeding trials that substituted plant-derived <u>PUFA</u> (primarily linoleic acid [LA]) for <u>saturated fatty acids</u> or <u>carbohydrates</u> for 3 to 16 weeks in generally healthy adults showed a decrease in fasting <u>insulin</u> concentration and <u>insulin resistance</u> but no effect on fasting <u>glucose</u> concentration (<u>123</u>). Most studies used a mixture of omega-3 and omega-6 PUFA in the form of plant-derived oils such that potential differences in effect between them could not be examined.

A meta-analysis of 20 <u>prospective cohort studies</u> conducted in 10 countries, in a total of 39,740 participants free from <u>diabetes</u> at baseline, examined <u>biomarkers</u> of omega-6 intake in relation to the <u>risk</u> of developing type 2 diabetes mellitus (124). LA ranged from 8.3% of total fatty acids in <u>erythrocyte phospholipids</u> to 54.5% in <u>plasma cholesterol esters</u>. The lowest percentage of arachidonic acid (AA) was found in <u>adipose tissue</u> (0.3%) and the highest in erythrocyte phospholipids (17.0%). The highest versus lowest concentration of LA markers in each compartment (phospholipids, plasma or <u>serum</u>, cholesterol esters) except adipose tissue was associated with a 35% lower risk of type 2 diabetes. In contrast, only AA in plasma or serum was <u>inversely associated</u> with the risk of type 2 diabetes (124). If LA concentration in blood and adipose tissue can provide an objective assessment of dietary LA intake (125), these results suggest that dietary LA may be important for glycemic control and diabetes prevention.

Metabolic syndrome

A 2019 <u>meta-analysis</u> of 13 <u>observational</u> (9 <u>cross-sectional</u>, 2 <u>case-control</u>, 1 <u>nested case-control</u>, and 1 <u>prospective</u> <u>cohort</u>; 36,542 participants) studies showed higher concentrations of omega-3 in blood and <u>adipose tissue</u> and higher level of omega-3 intake to be associated with a lower risk of <u>metabolic syndrome (126)</u>. No association was found between tissue omega-6 concentration or dietary omega-6 intake level and the risk of metabolic syndrome (126).

Cognitive decline and Alzheimer's disease

<u>Alzheimer's disease</u> is the most common cause of <u>dementia</u> in older adults <u>(127)</u>. Alzheimer's disease is characterized by the formation of <u>amyloid plaque</u> in the brain and nerve cell degeneration. Disease symptoms, including memory loss and

confusion, worsen over time (128).

Observational studies: Several <u>observational studies</u> have examined dietary fish and <u>PUFA</u> consumption in relation to <u>risks</u> of <u>cognitive</u> decline, <u>dementia</u>, and <u>Alzheimer's disease</u>. The pooled analysis of five large <u>prospective cohort studies</u> (Three-City Study, Nurses' Health Study, Women's Health Study, Chicago Health and Aging Project, and Rush Memory and Aging Project) that followed a total of 23,688 older (ages, ≥ 65 years) participants (88% women) for 3.9 to 9.1 years found slower rates of decline in episodic memory and global <u>cognition</u> with increasing fish intakes (<u>129</u>). Previous studies have suggested that the effect of fish or PUFA consumption on cognition may be dependent on apolipoprotein E (APOE) <u>genotype (130, 131</u>). Of three common APOE <u>alleles</u> (epsilon 2 [ϵ 2], ϵ 3, and ϵ 4), the presence of the APOE ϵ 4 (E4) allele has been associated with increased risk and earlier onset of Alzheimer's disease (<u>132</u>). It was found that long-chain omega-3 PUFA supplementation did not increase <u>plasma</u> omega-3 concentrations to the same extent in E4 carriers than in non-carriers (<u>133</u>) and that DHA <u>metabolism</u> differs in E4 carriers compared to non-carriers, with greater <u>oxidation</u> and lower plasma concentrations in E4 carriers (<u>134</u>). However, neither APOE genotype nor <u>polymorphisms</u> in 11 other genes associated with Alzheimer's disease were found to modify the <u>inverse relationship</u> between fish intake and risk of cognitive decline in the pooled analysis of the five cohorts (<u>129</u>).

In a recent <u>meta-analysis</u> of observational studies, each one-serving increase of fish intake per week was found to be associated with a 5% lower risk of dementia and a 7% lower risk of Alzheimer's disease (135). Dietary intake level of marine-derived DHA — but not blood DHA concentration — was also inversely associated with the risks of dementia and Alzheimer's disease; for instance, a 100 mg/day increment in dietary DHA intake was associated with lower risks of dementia (-14%) and Alzheimer's disease (-37%) (135). Results from two large cohort studies published after this dose-response meta-analysis showed blood DHA concentration to be positively associated with cognitive performance in adults (136, 137). Findings from preclinical studies suggest that long-chain omega-3 fatty acids may have neuroprotective effects, potentially through mitigating neuroinflammation, improving cerebral blood flow, and/or reducing amyloid aggregation (138).

Randomized controlled trials: A 2012 <u>systematic review</u> identified three <u>randomized controlled trials</u> that examined the effect of omega-3 <u>supplementation</u> on the <u>risk</u> of <u>cognitive</u> decline in cognitively healthy older or elderly adults (139). There was no evidence showing an effect of omega-3 on measures of cognitive functions in these <u>clinical trials</u>. In a more recent systematic review that identified seven trials conducted in cognitively healthy participants, the authors reported positive effects of long-chain omega-3 supplementation on measures of cognitive outcomes in all studies but the second longest and the two largest trials (140). Another seven trials examined the effect of long-chain omega-3 supplementation in individuals with mild cognitive impairment; all but three trials showed a significant benefit on measures of cognitive function or specific memory tasks (140). Yet, two trials that found no improvement in cognitive performance included omega-3 supplements in both intervention and control arms (141, 142).

Overall, the data favor a role for diets rich in long-chain omega-3 fatty acids in slowing cognitive decline, but larger trials with longer intervention periods may be necessary to see a consistent beneficial effect of omega-3 supplementation in older individuals with normal or declining cognitive functions.

Disease Treatment

Hypertriglyceridemia

About one-third of US adults have <u>serum triglycerides</u> >150 mg/dL, and 16% of US adults have serum triglycerides >200 mg/dL (<u>143</u>). The 2011 American Heart Association guidelines on triglyceride management recommended the use of marine-derived omega-3 <u>fatty acid supplements</u> (2-4 g/day of EPA plus DHA) under medical supervision to reduce triglyceride concentrations below 100 mg/dL (<u>143</u>). Hypertriglyceridemia can have various causes, such as inherited and acquired disorders of triglyceride <u>metabolism</u>, poor diet, and/or use of certain medications (<u>143</u>).

Several omega-3 fatty acid preparations have been approved by the US Food and Drug Administration for the treatment of hypertriglyceridemia (104). Out of the five currently available preparations, four contain ethyl esters of EPA and/or DHA and one contains long-chain omega-3 <u>PUFA</u> as free fatty acids (104). The Epanova for lowering very high triglycerides (EVOLVE) randomized controlled trial demonstrated that the omega-3 free fatty acid formulation (2-4 g/day for 12 weeks) effectively reduced triglycerides and other <u>atherogenic</u> factors, including <u>vLDL</u>-cholesterol and remnant-like cholesterol particles, when compared to olive oil (4 g/day) in patients with severe hypertriglyceridemia (serum triglycerides >500 mg/dL) (reviewed in 144). Omega-3 supplementation also decreased inflammation (as shown by a reduction in circulating

concentrations of arachidonic acid) (<u>144</u>, <u>145</u>). This omega-3 formulation also proved to be effective in reducing persistent hypertriglyceridemia (serum triglycerides, 200-499 mg/dL) in patients treated with statins (cholesterol-lowering drugs) (<u>146</u>). Statin use has been found to effectively reduce triglyceride concentrations by about 5%-20% (<u>147</u>). However, a residual elevation in triglycerides and triglyceride-rich lipoprotein cholesterol may remain in a substantial fraction of patients treated with statins. Compared to 4 g/day of olive oil, omega-3 supplementation with 2 or 4 g/day for six weeks reduced triglycerides by 14.6% and 20.6% and non-HDL-cholesterol by 3.9% and 6.9%, respectively (<u>146</u>). The magnitude of these reductions in triglyceride and non-HDL-cholesterol concentrations was similar to what has been observed in other trials that examined the use of ethyl ester omega-3 supplements as add-ons to statin therapy (<u>146</u>, <u>148-150</u>). A study is underway to assess the benefit of combining omega-3 fatty acids and statins on the risk of major cardiovascular events over a three- to five-year period in patients with hypertriglyceridemia (<u>144</u>, <u>151</u>).

Nonalcoholic fatty liver disease

Often associated with metabolic disorders, nonalcoholic fatty liver disease (NAFLD) is a condition characterized by an excessive <u>lipid</u> accumulation in the liver (i.e., hepatosteatosis). NAFLD can progress to nonalcoholic steatohepatitis (NASH) in about one-third of the patients with NAFLD, thereby increasing the <u>risk</u> of <u>cirrhosis</u> and <u>hepatocellular</u> <u>carcinoma (152, 153)</u>. An emerging feature of NAFLD is the decline in <u>hepatic</u> omega-3 and omega-6 <u>PUFA</u> with disease progression (<u>154</u>). Considering that C_{20-22} omega-3 PUFA can reduce <u>fatty acid</u> <u>synthesis</u> and <u>inflammation</u>, a possible therapeutic strategy would be to increase dietary intake of long-chain omega-3 PUFA. A 2018 <u>meta-analysis</u> of 18 <u>randomized controlled trials</u> in 1,424 participants with NAFLD found that omega-3 <u>supplementation</u> showed beneficial effects on liver fat, specific liver <u>enzymatic</u> activities, <u>serum triglycerides</u>, fasting <u>glucose</u>, and <u>insulin resistance (155)</u>. However, there was no evidence of an effect on total <u>cholesterol</u>, LDL-cholesterol, HDL-cholesterol, fasting <u>insulin</u>, blood pressure, <u>BMI</u>, and waist circumference (<u>155</u>). Other recent meta-analyses have also reported that supplementation with long-chain omega-3 fatty acids from fish/seal oil (0.25-6.8 g/day for 3-25 months) improved hepatosteatosis and other metabolic disorders in both children and adults with NAFLD (reviewed in <u>153</u>). Additional studies are needed to examine their efficacy in more severe cases of NASH.

Inflammatory diseases

Rheumatoid arthritis

A 2017 meta-analysis of 20 randomized controlled trials in 1,252 participants with rheumatoid arthritis assessed the efficacy of long-chain omega-3 <u>PUFA supplementation</u> on a series of clinical outcomes (156). Omega-3 supplementation (0.3-9.6 g/day) for 3 to 18 months reduced the number of tender joints (14 trials), as well as early morning stiffness (15 trials) and pain level (16 trials) compared to placebo. Blood concentrations of triglycerides (3 trials) and pro-inflammatory leukotriene B4 (5 trials) were also decreased with supplemental omega-3 PUFA (156). Another 2017 meta-analysis of 42 randomized controlled trials examined the effect of omega-3 supplementation (mainly as fish oil) on arthritic pain in patients diagnosed with different types of arthritis (157). Daily administration of marine-derived EPA (0.01-4.1 g) and DHA (0.01-2.7 g) for up to 18 months resulted in a reduction in patients' reported pain (using a visual analog scale [VAS] for pain) in those suffering from rheumatoid arthritis (22 trials) and those with other types of arthritis (i.e., juvenile arthritis, psoriatic arthritis) or mixed diagnoses (3 trials), yet not in those with osteoarthritis (5 trials). The evidence of an effect of omega-3 supplements in patients with rheumatoid arthritis was deemed of moderate quality (157). In a 2017 systematic review of 18 trials, including 1,143 subjects with rheumatoid arthritis, only 4 of 18 placebo-controlled trials showed a benefit of omega-3 PUFA supplementation (2.2-3.6 g/day for 12-36 weeks) on pain level — reported by patients and/or assessed by physicians (158). In most trials, the use of medications (nonsteroidal anti-inflammatory drugs [NSAIDs] and/or disease-modifying anti-rheumatic drugs [DMARDs]) was continued throughout the intervention period. Results of a few trials suggested that omega-3 PUFA could spare the need for anti-inflammatory medications in some patients yet failed to show superiority of PUFA in pain management (159, 160).

The limited body of evidence that suggests potential benefits of omega-3 supplementation in rheumatoid arthritis treatment needs strengthening with data from larger studies conducted for longer intervention periods (157, 158).

Inflammatory bowel disease

Crohn's disease: A 2013 <u>systematic review</u> evaluated the efficacy of omega-3 <u>supplementation</u> in patients with <u>Crohn's</u> <u>disease</u>, considering the evidence base from both short-term (9 to 24 weeks) and long-term (1 year) trials <u>(161)</u>. Among five trials that evaluated the efficacy of omega-3 supplementation on relapse rates, conflicting outcomes were reported.

Most trials were limited by small sample sizes and short duration — up to three years may be necessary to see an effect on relapse rates given the natural relapsing-remitting course of the disease. The two largest and most recent trials (EPIC-1 and EPIC-2) showed no significant effect of omega-3 supplementation on indicators of Crohn's disease remission compared to <u>placebo (162)</u>. Other systematic reviews of the literature reached similar conclusions (163-165). Three short-term trials showed positive effects of omega-3 supplementation on <u>plasma</u> biochemical parameters (e.g., reduced <u>inflammatory cytokine</u> expression, increased plasma EPA and DHA concentrations) compared to controls (161). In spite of its impact on biochemical changes in the short-term, however, the ability of omega-3 supplementation to maintain remission or effect clinically meaningful changes in Crohn's disease is not supported by the current evidence (164).

Ulcerative colitis: Seven <u>randomized controlled trials</u> of fish oil <u>supplementation</u> in patients with active <u>ulcerative colitis</u> reported significant improvement in at least one outcome measure, such as decreased <u>corticosteroid</u> use, improved disease activity scores, or improved <u>histology</u> scores (<u>163</u>). In patients with inactive ulcerative colitis, omega-3 supplementation had no effect on relapse rates compared to <u>placebo</u> in four separate trials (<u>163, 165</u>).

While no serious side effects were reported in any trials of fish oil supplementation for the maintenance or remission of <u>inflammatory bowel disease</u>, diarrhea and upper <u>gastrointestinal</u> symptoms occurred more frequently with omega-3 treatment (163-165).

Asthma

<u>Inflammatory eicosanoids</u> (leukotrienes) derived from arachidonic acid (AA; 20:4n-6) are thought to play an important role in the <u>pathology</u> of <u>asthma (32)</u>. Because increasing omega-3 <u>fatty acid</u> intake has been found to decrease the formation of AA-derived leukotrienes, a number of <u>clinical trials</u> have examined the effects of long-chain omega-3 fatty acid supplementation on asthma. Although there is some evidence that omega-3 fatty acid supplementation can decrease the production of inflammatory mediators in asthmatic patients (<u>166</u>, <u>167</u>), evidence that omega-3 fatty acid supplementation decreases the clinical severity of asthma in controlled trials has been inconsistent (<u>168</u>). Three <u>systematic reviews</u> of <u>randomized controlled trials</u> of long-chain omega-3 fatty acid supplementation in asthmatic adults and children found no consistent effects on clinical outcome measures, including pulmonary function tests, asthmatic symptoms, medication use, or bronchial hyperreactivity (<u>169-171</u>).

Immunoglobulin A nephropathy

Immunoglobulin A (IgA) <u>nephropathy</u> is a kidney disorder that results from the deposition of IgA in the <u>glomeruli</u> of the kidneys. The cause of IgA nephropathy is not clear, but progressive <u>renal</u> failure may eventually develop in 15%-40% of patients (<u>172</u>). Since glomerular IgA deposition results in increased production of <u>inflammatory</u> mediators, omega-3 <u>fatty</u> acid <u>supplementation</u> could potentially modulate the inflammatory response and preserve renal function.

A 2012 <u>meta-analysis</u> assessed the efficacy of omega-3 fatty acid supplementation on adult IgA nephropathy (<u>173</u>). Five <u>randomized controlled trials</u> were included in an analysis involving 239 patients (mean age, 37-41 years) who received <u>placebo</u> or supplemental EPA + DHA at doses of 1.4 to 5.1 g/day for 6 to 24 months. Compared with control groups, omega-3 supplementation had no significant effect on urine <u>protein excretion</u> or glomerular filtration rate. Only two trials measured changes in <u>serum</u> creatinine (a marker of renal function) and end-stage renal disease — omega-3 treatment had a beneficial effect on these two parameters in both trials. No adverse events associated with omega-3 supplementation were reported in any of the trials. A more recent review of the literature identified six trials showing evidence of omega-3 supplementation slowing IgA nephropathy disease progression and three trials reporting no effect (<u>174</u>). Additionally, preliminary data suggested that the potential synergistic actions of aspirin and long-chain omega-3 PUFAs might constitute a promising treatment option (<u>168</u>).

Neuropsychiatric disorders

Autism spectrum disorders

Autism spectrum disorders (ASD) refer to three neurodevelopmental disorders of variable severity, namely autism, Asperger syndrome, and pervasive development disorder. ASD are characterized by abnormal information processing in the brain due to alterations in the way <u>nerve cells</u> and their <u>synapses</u> connect and organize. ASD are thought to have a strong genetic basis, yet environmental factors including diet may play an important role. Given that omega-3 and omega-6 <u>PUFA</u> are necessary for <u>neuronal</u> growth and synapse formation (see <u>Biological Activities</u>), they may be of significant

benefit in the prevention and/or management of ASD. This is supported by observations of PUFA abnormalities in blood of children with ASD, when compared to their peers with no neurodevelopmental disorders (175). A meta-analysis of case-control studies reported lower blood concentrations of DHA and EPA in children with ASD compared to typically developing children; yet, the ratio of total omega-6 to omega-3 fatty acids was similar between children with and without ASD symptoms (176). A systematic review by the same authors identified six randomized controlled trials that examined the effect of primarily long-chain omega-3 PUFA on ASD symptoms (176). All the studies included children; one study also included adults ≤ 28 years (177). Four trials used EPA (0.70-0.84 g/day) plus DHA (0.46-0.70 g/day) (178-181), one trial used DHA (0.24 g/day) plus AA (0.24 g/day) (177), and one trial only used only DHA (0.20 g/day) (182). A pooled analysis of four (177-180) of these trials, including a total of 107 participants, showed a small improvement in measures of social interaction and repetitive and restrictive interests and behaviors with long-chain PUFA supplementation for 6 to 16 weeks; however, there was no effect on measures of communication and ASD co-existing conditions, such as hyperactivity, irritability, sensory issues, and gastrointestinal symptoms (176). Two additional systematic reviews and meta-analyses, also published in 2017, identified the same set of trials. One meta-analysis suggested a benefit of longchain PUFA on measures of lethargy and stereotypy but found no overall clinical improvement compared to placebo (183). The other meta-analysis suggested an improvement regarding lethargy yet a worsening of externalizing behavior and social skills in children supplemented with omega-3 PUFA (184).

The available evidence is based on few trials of small sample sizes and is thus too limited to draw firm conclusions regarding the potential benefit of long-chain PUFA supplementation in ASD management.

Major depression and bipolar disorder

Data from <u>ecologic studies</u> across different countries suggested an <u>inverse association</u> between seafood consumption and national rates of major depression (185) and <u>bipolar disorder (186)</u>.

Several small studies have found omega-3 <u>fatty acid</u> concentrations to be lower in <u>plasma (187-189)</u> and <u>adipose tissue (190)</u> of individuals suffering from depression compared to controls. Although it is not known how omega-3 fatty acid intake affects the incidence of depression, modulation of <u>neuronal signaling</u> pathways and <u>eicosanoid</u> production have been proposed as possible mechanisms (<u>191</u>). There may be some benefit of omega-3 <u>PUFA supplementation</u> on depressive disorders, but it is difficult to compare studies and draw conclusions due to great <u>heterogeneity</u> among the trials (<u>192, 193</u>). Small sample sizes, lack of standardization of therapeutic doses, type of omega-3 PUFA administered, co-treatment with pharmacological agents, and diagnostic criteria vary among the trials. A 2012 <u>systematic review</u> of all published <u>randomized controlled trials</u> investigated the effect of omega-3 PUFA supplementation on the prevention and treatment of several types of depression and other neuropsychiatric disorders (<u>192</u>). With respect to major depression, most studies reported a positive effect of omega-3 supplements on depressive symptoms, though efficacy is still considered inconclusive given the great variability among trials. A few themes emerged from this review: more trials reported positive effect for omega-3 PUFA supplements as an <u>adjunct</u> to pharmacological treatment; in <u>monotherapy</u> trials, EPA alone was more effective than DHA alone; and in combination trials, positive effects were more likely if an EPA:DHA ratio of >1.5–2.0 was administered.

A 2014 <u>meta-analysis</u> grouped trials by type of diagnosis of depression (<u>194</u>). A positive effect of omega-3 supplementation was found in 11 trials in participants with a diagnosis of major depressive disorder (according to the Diagnostic and Statistical Manual of Mental Disorders [DSM] criteria). Omega-3 supplementation also appeared to be effective in the pooled analysis of eight trials in participants not formally diagnosed with major depressive disorder, i.e., adults with depressive symptoms despite ongoing treatment, untreated patients with mild-to-severe depressed mood, patients with a history of at least one major depressive episode, women with borderline personality disorder, patients with recurrent self-harm, and postmenopausal women with psychological distress and depressive symptoms. There was no mood improvement with omega-3 supplements in generally healthy adults experiencing depressive symptoms, as suggested by the pooled analysis of six trials (<u>194</u>).

Finally, a 2017 Cochrane systematic review and meta-analysis of 20 randomized controlled trials reported a small benefit of omega-3 supplementation on depressive symptoms when compared to <u>placebo</u>, yet the evidence was deemed of very low quality and the positive effect was judged likely to be <u>biased</u> and not clinically significant (195).

Unipolar depression and bipolar disorder are considered distinct psychiatric conditions, although major depression occurs in both. A 2016 meta-analysis of eight <u>case-control studies</u> that compared the PUFA composition of red blood <u>cell</u> <u>membranes</u> between patients with bipolar disorder and healthy subjects showed abnormally low red blood cell DHA concentrations with bipolar disorder (<u>196</u>). As with major depression, reviews of trials indicated that omega-3

supplementation may have a positive effect as an adjunct to therapy in patients with bipolar disorder (<u>192, 194</u>). Additionally, a 2016 <u>randomized</u>, placebo-controlled trial in 100 participants with bipolar disorder reported a reduction in the severity of manic episodes with daily supplementation of 1,000 mg omega-3 PUFA for three months (<u>197</u>).

While there is some promising evidence for the use of omega-3 fatty acids for major depression and bipolar disorder, additional trials that account for dietary omega-3 intake, changes in red blood cell PUFA concentrations, the ratio of EPA:DHA provided, and co-treatment with medications are necessary.

Schizophrenia

A 2013 <u>meta-analysis</u> of 18 studies compared the <u>PUFA</u> composition of red blood <u>cell membranes</u> in patients with <u>schizophrenia</u> to individuals without the disorder (198). The majority of studies investigated medicated patients, though the authors separated the analysis into three groups of patients at time of measurement in order to account for possible <u>confounding</u> from pharmacologic agents: antipsychotic-medicated, antipsychotic-naïve, and antipsychotic-free. Overall, decreased concentrations of DPA, DHA, and AA in red blood cell membranes were associated with the schizophrenic state. Several mechanisms may account for PUFA abnormalities in schizophrenia, such as altered <u>lipid metabolism</u>, increased <u>oxidative stress</u>, or changes in diet consequent to disease-related behavior.

The use of long-chain omega-3 <u>fatty acid supplements</u> to alleviate symptoms of schizophrenia or to mitigate adverse effects of antipsychotic medications has been investigated in a number of <u>clinical trials (194, 199)</u>. In a recent <u>randomized</u>, <u>placebo</u>-controlled trial in 50 subjects with recent onset of schizophrenia who were medicated, daily supplementation with EPA (740 mg) and DHA (400 mg) reduced psychotic symptoms (assessed with the Brief Psychiatric Rating Scale) only in those who were not taking the <u>anxiolytic</u>, lorazepam (Ativan) (200). Overall, however, there was no effect of long-chain PUFA supplements on schizophrenia symptoms. Yet, given the high safety profile of fish oil supplements and some evidence of a positive effect of EPA supplementation in a subset of trials, some clinicians may consider EPA a useful <u>adjunct</u> to antipsychotic therapy in patients with schizophrenia.

Alzheimer's disease and dementia

Several mechanisms suggest that omega-3 <u>PUFA</u> <u>supplementation</u> may improve the <u>cognitive</u> performance of individuals with <u>Alzheimer's disease</u> and other types of <u>dementia</u>. In particular, the <u>antioxidative</u> and anti-<u>inflammatory</u> properties of these PUFA may help protect <u>neurons</u>, promote <u>synaptic plasticity</u>, and limit cellular death. The PUFA composition of the diet appears to influence blood <u>cholesterol</u>, which may play a role in the <u>pathology</u> of Alzheimer's disease. However, the current evidence from <u>clinical trials</u> is not supportive of omega-3 supplementation in the treatment of Alzheimer's disease in humans. A 2016 Cochrane review identified three <u>randomized</u>, <u>placebo</u>-controlled trials in patients with Alzheimer's disease of mild-to-moderate severity (201). These trials compared daily supplementation with DHA (between 675 mg and 1,700 mg) and EPA (between 600 mg and 975 mg) to a placebo for 12 months (202, 203) or 18 months (204). Of note, the study by Quinn et al. (204) also included 4 mg/day of <u>vitamin E</u> (used as preservative — see also <u>Nutrient interactions</u>) in the intervention arm, and the study by Freund-Levi et al. (202) included DHA (900-1,100 mg/day) but no EPA. The pooled analysis of these trials showed no beneficial effect of omega-3 supplementation on measures of global and specific cognitive functions, measures of functional outcomes, and measures of dementia severity (201). There was no difference between intervention and placebo arms regarding the occurrence of adverse effects (201).

Sources

Food sources

Humans can <u>synthesize</u> arachidonic acid (AA) from linoleic acid (LA) and eicosapentaenoic acid (EPA) and docosapentaenoic acid (DHA) from α -linolenic acid (ALA) through a series of desaturation and elongation reactions. EPA and docosapentaenoic acid (DPA) are also obtained from the retroconversion of DHA (see <u>Metabolism and</u> <u>Bioavailability</u>). Due to low conversion efficiency, it is advised to obtain EPA and DHA from additional sources.

Omega-6 fatty acids

Linoleic acid (LA): Food sources of LA include vegetable oils, such as soybean, safflower, and corn oil; <u>nuts</u>; seeds; and some vegetables. Dietary surveys in the US indicate that the average adult intake of LA ranges from 17 to 20 g/day for men and 12 to 13 g/day for women (78). Some foods that are rich in LA are listed in **Table 2**.

Food	Serving	Linoleic Acid (g)
Safflower oil	1 tablespoon	10.1
Sunflower seeds, oil roasted	1 ounce	9.7
Pine nuts	1 ounce	9.4
Sunflower oil	1 tablespoon	8.9
Corn oil	1 tablespoon	7.3
Soybean oil	1 tablespoon	6.9
Pecans, oil roasted	1 ounce	6.4
Brazil nuts	1 ounce	5.8
Sesame oil	1 tablespoon	5.6

Arachidonic acid: Animals, but not plants, can convert LA to AA. Therefore, AA is absent in vegetable oils and fats and present in small amounts in meat, poultry, and eggs.

Omega-3 fatty acids

 α -Linolenic acid (ALA): Flaxseeds, walnuts, and their oils are among the richest dietary sources of ALA. Canola oil is also an excellent source of ALA. Dietary surveys in the US indicate that average adult intakes for ALA range from 1.8 to 2.0 g/day for men and from 1.4 to 1.5 g/day for women (78). Some foods that are rich in ALA are listed in Table 3.

Food	Serving	α-Linolenic acid (g)
Flaxseed oil	1 tablespoon	7.3
Chia seeds, dried	1 ounce	5.1
Walnuts, English	1 ounce	2.6
Flaxseeds, ground	1 tablespoon	1.6
Walnut oil	1 tablespoon	1.4
Canola oil	1 tablespoon	1.3
Soybean oil	1 tablespoon	0.9
Mustard oil	1 tablespoon	0.8
Walnuts, black	1 ounce	0.6
Tofu, firm	½ cup	0.2

Table 3. Food Sources of α -Linolenic Acid (18:3n-3) (205)

Eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA): Dietary surveys in the US indicate that average adult intakes of EPA range from 0.03 to 0.06 g/day, and average adult intakes of DHA range from 0.05 to 0.10 g/day (78). Oily fish are the major dietary source of EPA and DHA; omega-3 fatty acid-enriched eggs are also available in the US. Some foods that are rich in EPA and DHA are listed in **Table 4**.

Table 4. Food Sources of EPA (20:5n-3) and DHA (22:6n-3) (107)

Food	Serving	EPA (g)	DHA (g)	Amount Providing 1 g of EPA + DHA		
*A three-ounce serving of fish is about the size of a deck of cards.						

Herring, Pacific	3 ounces*	1.06	0.75	1.5 ounces	
Salmon, chinook	3 ounces	0.86	0.62	2 ounces	
Sardines, Pacific	3 ounces	0.45	0.74	2.5 ounces	
Salmon, Atlantic	3 ounces	0.28	0.95	2.5 ounces	
Oysters, Pacific	3 ounces	0.75	0.43	2.5 ounces	
Salmon, sockeye	3 ounces	0.45	0.60	3 ounces	
Trout, rainbow	3 ounces	0.40	0.44	3.5 ounces	
Tuna, canned, white	3 ounces	0.20	0.54	4 ounces	
Crab, Dungeness	3 ounces	0.24	0.10	9 ounces	
Tuna, canned, light	3 ounces	0.04	0.19	12 ounces	
*A three-ounce serving of fish is about the size of a deck of cards.					

Supplements

Omega-6 fatty acids

Borage seed oil, evening primrose oil, and black currant seed oil are rich in γ -linolenic acid (GLA; 18:3n-6) and are often marketed as GLA or essential fatty acid (EFA) <u>supplements (206)</u>.

Omega-3 fatty acids

Flaxseed oil (also known as flax oil or linseed oil) is available as an ALA <u>supplement</u>. A number of fish oils are marketed as omega-3 <u>fatty acid</u> supplements. The omega-3 fatty acids from natural fish oil are in the <u>triglyceride</u> form, often with only one of three attached fatty acids an omega-3; thus, up to 70% of fatty acids provided may be other types (3). Ethyl esters of EPA and DHA (ethyl-EPA and ethyl-DHA) are concentrated sources of long-chain omega-3 fatty acids that provide more EPA and DHA per gram of oil. Krill oil contains both EPA and DHA and is considered comparable to fish oil as a source of these long-chain <u>PUFA (207)</u>. Cod liver oil is also a rich source of EPA and DHA, but some cod liver oil preparations may contain excessive amounts of preformed <u>vitamin A</u> (retinol) and <u>vitamin D (206)</u>. DHA supplements derived from algal and fungal sources are also available. Because dietary DHA can be retroconverted to EPA and DPA in humans, DHA supplementation represents yet another alternative to fish oil supplements (see <u>Metabolism and Bioavailability</u>).

The content of EPA and DHA varies in each of these preparations, making it necessary to read product labels in order to determine the EPA and DHA levels provided by a particular supplement. All omega-3 fatty acid supplements are absorbed more efficiently with meals. Dividing one's daily dose into two or three smaller doses throughout the day will decrease the <u>risk</u> of <u>gastrointestinal</u> side effects (see <u>Safety</u>).

Infant formula

In 2001, the FDA began permitting the addition of DHA and AA to infant formula in the United States (208). Presently, manufacturers are not required to list the amounts of DHA and AA added to infant formula on the label. However, most infant formula manufacturers provide this information. The amounts added to formulas in the US range from 8 to 17 mg DHA/100 calories (5 fl oz) and from 16 to 34 mg AA/100 calories. For example, an infant drinking 20 fl oz of DHA-enriched formula daily would receive 32 to 68 mg/day of DHA and 64 to 136 mg/day of AA.

Safety

Adverse effects

γ-Linolenic acid (18:3n-6)

Supplemental γ -linolenic acid is generally well tolerated, and serious adverse side effects have not been observed at doses up to 2.8 g/day for 12 months (209). High doses of borage seed oil, evening primrose oil, or black currant seed oil may cause gastrointestinal upset, loose stools, or diarrhea (206). Because of case reports that supplementation with evening primrose oil induced seizure activity in people with undiagnosed temporal lobe epilepsy (210), people with a history of seizures or a seizure disorder are generally advised to avoid evening primrose oil and other γ -linolenic acid-rich oils (206).

α-Linolenic acid (18:3n-3)

Although flaxseed oil is generally well tolerated, high doses may cause loose stools or diarrhea (211). Allergic and <u>anaphylactic</u> reactions have been reported with flaxseed and flaxseed oil ingestion (212).

Eicosapentaenoic acid (20:5n-3) and docosahexaenoic acid (22:6n-3)

Serious adverse reactions have not been reported in those using fish oil or other EPA and DHA <u>supplements</u>. The most common adverse effect of fish oil or EPA and DHA supplements is a fishy aftertaste. Belching and heartburn have also been reported. Additionally, high doses may cause nausea and loose stools.

Potential for excessive bleeding: The potential for high omega-3 <u>fatty acid</u> intakes, especially EPA and DHA, to prolong bleeding times has been well studied and may play a role in the cardioprotective effects of omega-3 fatty acids. Although excessively long bleeding times and increased incidence of <u>hemorrhagic stroke</u> have been observed in Greenland Eskimos with very high intakes of EPA + DHA (6.5 g/day), it is not known whether high intakes of EPA and DHA are the only factor responsible for these observations (1). The US FDA has ruled that intakes up to 3 g/day of long-chain omega-3 fatty acids (EPA and DHA) are Generally Recognized As Safe (GRAS) for inclusion in the diet, and available evidence suggests that intakes less than 3 g/day are unlikely to result in clinically significant bleeding (107). Although the US Institute of Medicine did not establish a tolerable upper intake level (<u>UL</u>) for omega-3 fatty acids, caution was advised with the use of <u>supplemental</u> EPA and DHA, especially in those who are at increased <u>risk</u> of excessive bleeding (see <u>Drug interactions</u> and <u>Nutrient interactions</u>) (1, 206).

Potential for immune system suppression: Although the suppression of <u>inflammatory</u> responses resulting from increased omega-3 <u>fatty acid</u> intakes may benefit individuals with inflammatory or <u>autoimmune diseases</u>, anti-inflammatory doses of omega-3 fatty acids could decrease the potential of the immune system to destroy <u>pathogens (213)</u>. Studies comparing measures of immune cell function outside the body (*ex vivo*) at baseline and after <u>supplementing</u> people with omega-3 fatty acids, mainly EPA and DHA, have demonstrated immunosuppressive effects at doses as low as 0.9 g/day for EPA and 0.6 g/day for DHA (1). Although it is not clear if these findings translate to impaired immune responses <u>in vivo</u>, caution should be observed when considering omega-3 fatty acid supplementation in individuals with compromised immune systems.

Potential other effects: Although fish oil supplements are unlikely to affect <u>glucose homeostasis</u>, people with <u>diabetes</u> <u>mellitus</u> who are considering fish oil supplements should inform their physician and be monitored if they choose to take them (206).

Infant formula

In early studies of DHA-enriched infant formula, EPA- and DHA-rich fish oil was used as a source of DHA. However, some preterm infants receiving fish oil-enriched formula had decreased <u>plasma</u> AA concentrations, which were associated with decreased weight (but not length and head circumference) (214, 215). This effect was attributed to the potential for high concentrations of EPA to interfere with the synthesis of AA, which is essential for normal growth. Consequently, EPA was removed and AA was added to DHA-enriched formula. Currently available infant formulas in the US contain only AA and DHA derived from algal or fungal sources, rather than fish oil. <u>Randomized controlled trials</u> have not found any adverse effects on growth in infants fed formulas enriched with AA and DHA for up to one year (216).

The safety of <u>supplemental</u> omega-3 and omega-6 <u>fatty acids</u>, including borage seed oil, evening primrose oil, black currant seed oil, and flaxseed oil, has not been established in pregnant or lactating (breast-feeding) women (217). Studies of fish oil supplementation during pregnancy and lactation have not reported any serious adverse effects, but use of omega-6/omega-3 <u>PUFA</u>-containing supplements and fish oil supplements in pregnant or nursing women should be monitored by a physician (see <u>Contaminants in fish</u> and <u>Contaminants in supplements</u>) (206).

Contaminants in fish

Some species of fish may contain significant levels of methylmercury, polychlorinated biphenyls (PCBs), or other environmental contaminants (218). In general, larger predatory fish, such as swordfish, tend to contain the highest levels of these contaminants. Removing the skin, fat, and internal organs of the fish prior to cooking and allowing the fat to drain from the fish while it cooks will decrease exposure to a number of fat-soluble pollutants, such as PCBs (219). However, methylmercury is found throughout the muscle of fish, so these cooking precautions will not reduce exposure to methylmercury. Organic mercury compounds are toxic and excessive exposure can cause brain and kidney damage. The developing fetus, infants, and young children are especially vulnerable to the toxic effects of mercury on the brain. In order to limit their exposure to methylmercury, the US Food and Drug Administration (FDA) and Environmental Protection Agency have formulated joint recommendations for women who may become pregnant, pregnant women, breast-feeding women, and parents. These recommendations are presented in **Table 5**.

For more information about the FDA/Environmental Protection Agency advisory for pregnant women and parents of young children on eating fish, see their <u>online brochure</u>. More information about mercury levels in commercial fish and shellfish is available from the FDA.

Of note, the 2015-2020 Dietary Guidelines for Americans recommend the consumption of salmon, anchovies, herring, shad, sardines, Pacific oysters, trout, and Atlantic and Pacific mackerel (not king mackerel), which are higher in EPA and DHA and lower in methylmercury (220).

Contaminants in supplements

Although concerns have been raised regarding the potential for omega-3 <u>fatty acid supplements</u> derived from fish oil to contain methylmercury, PCBs, and dioxins, several independent laboratory analyses in the US have found commercially available omega-3 fatty acid supplements to be free of methylmercury, PCBs, and dioxins (221). The absence of methylmercury in omega-3 fatty acid supplements can be explained by the fact that mercury accumulates in the muscle, rather than the fat of fish (107). In general, fish body oils contain lower concentrations of PCBs and other fat-soluble contaminants than fish liver oils. Additionally, fish oils that have been more highly refined and deodorized contain lower concentrations of PCBs (222). Pyrrolizidine alkaloids, potentially hepatotoxic and <u>carcinogenic</u> compounds, are found in various parts of the borage plant. People who take borage oil supplements should use products that are certified free of unsaturated pyrrolizidine alkaloids (206).

1. Eat 8-12 ounces of a variety of fish a week	 That's 2 or 3 servings of fish a week For young children, give them 2 or 3 servings of fish a week with the portion right for the child's age and calorie needs.
2. Choose fish lower in mercury.	 Many of the most commonly eaten fish are lower in mercury. Examples include salmon, shrimp, pollock, tuna (light canned), tilapia, catfish, and cod.
3. Avoid 4 types of fish: tilefish from the Gulf of Mexico, shark, swordfish, and king mackerel.	These 4 types of fish are highest in mercury.Limit white (albacore) tuna to 6 ounces a week.

Table 5. Recommendations to Limit Exposure to Seafood Methylmercury (219)

4. When eating fish you or others have caught from streams, rivers, and lakes, pay attention to fish advisories on those waterbodies.	• If advice isn't available, adults should limit such fish to 6 ounces a week and young children to 1 to 3 ounces a week and not eat other fish that week.
5. When adding more fish to your diet, be sure to stay within your calorie needs.	

Drug interactions

γ-Linolenic acid <u>supplements</u>, such as evening primrose oil or borage seed oil, may increase the <u>risk</u> of <u>seizures</u> in people on phenothiazines (neuroleptic agents), such as chlorpromazine (210). High doses of black currant seed oil, borage seed oil, evening primrose oil, flaxseed oil, and fish oil may inhibit <u>platelet</u> aggregation; therefore, these supplements should be used with caution in people on <u>anticoagulant</u> medications (206). In particular, people taking fish oil or long-chain omega-3 <u>fatty acid</u> (EPA and DHA) supplements in combination with anticoagulant drugs, including aspirin, clopidogrel (Plavix), dalteparin (Fragmin), dipyridamole (Persantine), enoxaparin (Lovenox), heparin, ticlopidine (Ticlid), and warfarin (Coumadin), should have their <u>coagulation</u> status monitored using a standardized prothrombin time assay (<u>international</u> <u>normalized ratio</u> [INR]). One small study found that 3 g/day or 6 g/day of fish oil did not affect INR values in 10 patients on warfarin over a four-week period (223). However, a <u>case report</u> described an individual who required a reduction of her warfarin dose when she doubled her fish oil dose from 1 g/day to 2 g/day (224).

Nutrient interactions

Vitamin E

Outside the body, <u>PUFA</u> become rancid (<u>oxidized</u>) more easily than <u>saturated fatty acids</u>. Fat-soluble <u>antioxidants</u>, such as <u>vitamin E</u> (α -tocopherol), play an important role in preventing the <u>oxidation</u> of PUFA. Inside the body, results of animal studies and limited data in humans suggest that the amount of vitamin E required to prevent <u>lipid peroxidation</u> increases with the amount of PUFA consumed (<u>225</u>). One widely used recommendation for vitamin E intake is 0.6 mg of α -tocopherol per gram of dietary PUFA. This recommendation was based on a small study in men and the ratio of α -tocopherol to LA in the US diet and has not been verified in more comprehensive studies. Although EPA and DHA are easily oxidized outside the body, it is presently unclear whether they are more susceptible to <u>oxidative damage</u> within the body (<u>226</u>). High vitamin E intakes have not been found to decrease <u>biomarkers</u> of oxidative damage when EPA and DHA intakes are increased (<u>227</u>, <u>228</u>), but some experts believe that an increase in PUFA intake, particularly omega-3 PUFA intake, should be accompanied by an increase in vitamin E intake (<u>1</u>).

Intake Recommendations

US Institute of Medicine

The Food and Nutrition Board of the US Institute of Medicine (now the National Academy of Medicine) has established adequate intake (<u>AI</u>) for omega-6 and omega-3 <u>fatty acids</u> (**Tables 6 and 7**) (<u>1</u>).

Life Stage	Age	Source	Males (g/day)	Females (g/day)
Infants	0-6 months	Omega-6 PUFA*	4.4	4.4
Infants	7-12 months	Omega-6 PUFA*	4.6	4.6
Children	1-3 years	LA#	7	7
Children	4-8 years	LA	10	10

Table 6. Adequate Intake (AI) for Omega-6 Fatty Acids (1)

*The various omega-6 polyunsaturated fatty acids (PUFA) present in human milk can contribute to the AI for infants. # LA, linoleic acid

Children	9-13 years	LA	12	10
Adolescents	14-18 years	LA	16	11
Adults	19-50 years	LA	17	12
Adults	51 years and older	LA	14	11
Pregnancy	all ages	LA	_	13
Breast-feeding	all ages	LA	-	13

*The various omega-6 polyunsaturated fatty acids (PUFA) present in human milk can contribute to the AI for infants. # LA, linoleic acid

Life Stage	Age	Source	Males (g/day)	Females (g/day)
Infants	0-6 months	ALA, EPA, DHA*	0.5	0.5
Infants	7-12 months	ALA, EPA, DHA	0.5	0.5
Children	1-3 years	ALA	0.7	0.7
Children	4-8 years	ALA	0.9	0.9
Children	9-13 years	ALA	1.2	1.0
Adolescents	14-18 years	ALA	1.6	1.1
Adults	19 years and older	ALA	1.6	1.1
Pregnancy	all ages	ALA	_	1.4
Breast-feeding	all ages	ALA	_	1.3

*All omega-3 polyunsaturated fatty acids present in human milk can contribute to the AI for infants. ALA, α -linolenic acid; EPA, eicosapentaenoic acid; DHA, docosahexaenoic acid.

Given the established health benefits of consuming at least two servings of oily fish per week, providing approximately 400 to 500 mg EPA + DHA, some researchers have proposed that the US Institute of Medicine (now the National Academy of Medicine) establish dietary reference intakes (<u>DRIs</u>) for EPA + DHA (<u>27</u>). For now, there are no DRIs for EPA and DHA specifically.

Because maternal dietary intake of long-chain PUFA determines the DHA status of the newborn, several expert panels in the US recommend that pregnant and lactating women consume at least 200 mg DHA per day, close to the amount recommended for adults in general (250 mg/day) (70, 229). The potential benefits associated with obtaining long-chain omega-3 <u>fatty acids</u> through moderate consumption of fish (e.g., 1-2 servings weekly) during pregnancy and lactation outweigh any risks of contaminant exposure, though fish with high concentrations of methylmercury should be avoided (218). For information about contaminants in fish and guidelines for fish consumption by women of childbearing age, see <u>Contaminants in fish</u>.

2015-2020 Dietary Guidelines for Americans

The <u>2015-2020 Dietary Guidelines</u> provide recommendations for nutritional goals for linoleic acid and α -linolenic acid based on the <u>DRIs</u> (see <u>Tables 6 and 7</u>). Seafood, <u>nuts</u>, seeds, and oils, which are all part of healthy dietary patterns, provide essential fatty acids. The 2015-2020 Dietary Guidelines provide dietary recommendations regarding the amounts of these foods for those who choose to follow a healthy US-style eating pattern, a healthy Mediterranean-style eating pattern, or a healthy vegetarian eating pattern (**Table 8**).

 Table 8. 2015-2020 Dietary Guidelines for Americans' Recommendations for Sources of Omega-3 and Omega-6

 Polyunsaturated Fatty Acids* (220)

	Healthy Eating Patterns				
Food	US-style	Mediterranean-style	Vegetarian		
Seafood (oz-eq/week)	8	15	_		
Nuts, seeds, soy products (oz-eq/week)	5	5	7		
Oils (g/week)	27	27	27		

*Recommendations for total daily energy needs of 2,000 calories per day. Estimates of daily calorie needs according to age, gender, and physical activity can be found in the Appendix 2 of the '2015-2020 Dietary Guidelines for Americans' report (220).

Oz-eq, ounce-equivalent

American Heart Association recommendation

The American Heart Association recommends that people without documented <u>coronary heart disease</u> (CHD) eat a variety of fish (preferably oily) at least twice weekly (230). Two servings of oily fish provide approximately 500 mg of EPA plus DHA. Pregnant women and children should avoid fish that typically have higher levels of methylmercury (see <u>Contaminants in fish</u>). People with documented CHD and those with <u>heart failure</u> without preserved left <u>ventricular</u> function are advised to consume approximately 1 g/day of EPA + DHA preferably from oily fish, or to consider EPA + DHA supplements in consultation with a physician (104, 107). Patients who need to lower <u>serum triglycerides</u> may take 2 to 4 g/day of EPA + DHA supplements under a physician's care (see <u>Hypertriglyceridemia</u>).

International recommendations

Upon request of the European Commission, the European Food Safety Authority (EFSA) proposed adequate intakes (AI) for the essential <u>fatty acids</u> LA and ALA, as well as the long-chain omega-3 fatty acids EPA and DHA (231). EFSA recommends an LA intake of 4% of total energy and an ALA intake of 0.5% of total energy; an AI of 250 mg/day is recommended for EPA plus DHA (232). The European Food and Safety Authority (EFSA) recommends that pregnant and lactating women consume an additional 100 to 200 mg of preformed DHA on top of the 250 mg/day EPA plus DHA recommended for healthy adults (231).

For adults, the World Health Organization recommends an acceptable macronutrient distribution range (AMDR) for omega-6 fatty acid intake of 2.5%-9% of energy and for omega-3 fatty acid intake of 0.5%-2% of energy (233). Their AMDR for EPA plus DHA is 0.25 to 2 g/day (the upper level applying to secondary prevention of <u>coronary heart disease</u>).

The International Society for the Study of Fatty Acids and Lipids (ISSFAL) recommends healthy adults have an LA intake of 2% energy, an ALA intake of 0.7% energy, and a minimum of 500 mg/day of EPA plus DHA for cardiovascular health (234).

Linus Pauling Institute recommendation

The Linus Pauling Institute supports the AI for the essential fatty acids (see <u>Tables 6 and 7</u>) and recommends that generally healthy adults increase their intake of long-chain omega-3 <u>fatty acids</u> by eating fish twice weekly and consuming foods rich in ALA, such as walnuts, flaxseeds, and flaxseed or canola oil. If you don't regularly consume fish, consider taking a two-gram fish oil <u>supplement</u> several times a week. If you are prone to bleeding or take <u>anticoagulant</u> drugs, consult your physician.

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